

# Renormalization of circle maps with a flat piece

TANGUE NDAWA Bertuel  
University of Ngaoundere

École mathématique africaine (EMA) - Brazzaville 2025  
“Arithmétique, Géométrie, Calcul Formel et Applications (AGCFA)”



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## Stability of the Solar System (SSS) - Dynamic System (DS).

→ 1766, **Lagrange**'s works: Modeling of (SSS) by

Differential Equation (DE)

- 1829, **Cauchy**  $DS_{\text{Cauchy}}(DE)$ ;
- 1879, **Poincaré**:  $DS_{\text{Poincaré}}(DE) \supset DS_{\text{Cauchy}}(DE)$ ;
- 1880, **Fuchs**  $DS_{\text{Fuchs}}(DE) := DS_{\text{SR}}(DE)$ ;

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Some Differential Equations haven't an analytical solution.

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## Dynamic system: Definition and examples

Dynamic System:=  $(X, G, F)$

A important class:  $(X, G, f)$ ; where,  $G = \mathbb{N}$  and

$$F : (x, n) \in X \times G \longmapsto f^n(x); \quad (1.1)$$

**$(S^1, \mathbb{N}, f)$  illustrates a little more the problem of stability of the solar system and many others.**

$$K_f := S^1 \setminus \cup_{i \geq 0} f^{-i}(U_f) \quad (1.2)$$

- ① What is the qualitative description of  $K_f$  ("Geometry")?
- ② What is the size occupied by  $K_f$  (Hausdorff Dimension)?
- ③ What is the good tools to study it (Renormalization)?
- ④ When  $K_f$  and  $K_g$  have the same size; description (Rigidity)?

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## Rotation number of continuous circle map of degree one

$$\begin{array}{ccc}
 \mathbb{R} & \xrightarrow{\exists! (+\mathbb{Z}) F} & \mathbb{R} \\
 \pi \downarrow & \pi \circ F = f \circ \pi & \downarrow \pi \\
 S^1 & \xrightarrow{f} & S^1
 \end{array}$$

$$\deg(f) := F(x+1) - F(x) \quad (1.3)$$

if  $\deg(f) = 1$ , then

$$\rho(f) := \lim_{n \rightarrow \infty} \frac{F^n(x) - x}{n} (\text{mod } 1). \quad (1.4)$$

does not depend on  $x$  and  $F$ .

### Assumption

$$\rho(f) = [a_0 a_1 \dots] \notin \mathbb{Q}. \quad (1.5)$$

Denominators of the convergents of  $\rho(f)$

$$q_1 = 1, q_2 = a_1 \text{ and } q_{n+1} = a_n q_n + q_{n-1}, n \geq 3. \quad (1.6)$$

## The class of functions $\mathcal{L}$ : Description

$\ell_1, \ell_2 \geq 1$ .  $f \in \mathcal{L}$  if there exist  $U := (a, b)$ ,  $c \in S^1$  and  $0 < \epsilon \ll$ , such that

- ①  $f(U) = c$ .

- ②  $f|_{S^1 \setminus \bar{U}}$  is a  $C^3$ -diffeomorphism.

- ③

$$f|_{[b, b+\epsilon]} \approx h_r((x - b)^{\ell_2}); \quad (1.7)$$

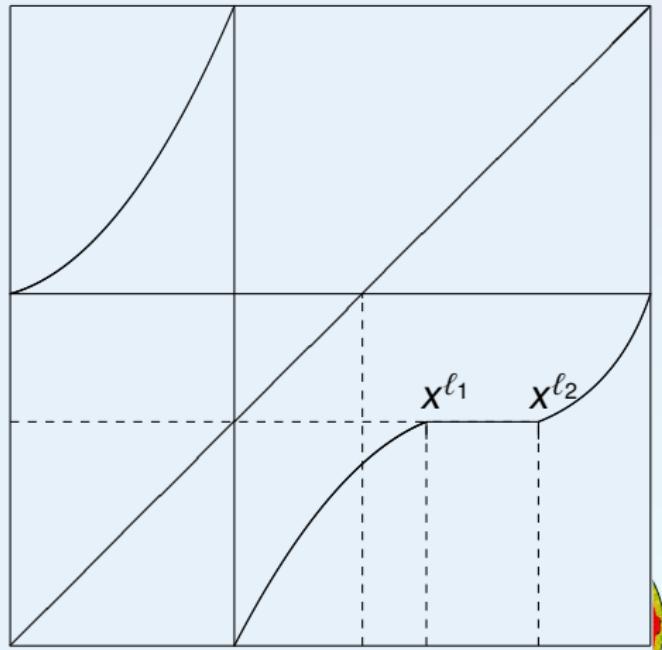
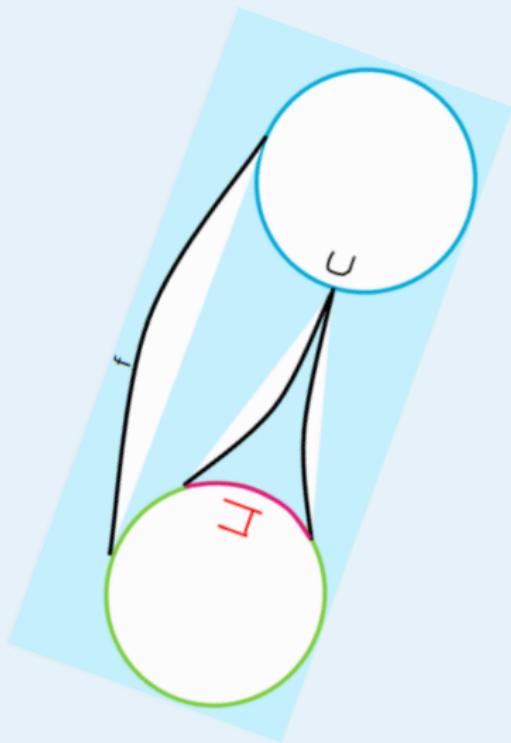
and

$$f|_{[a-\epsilon, a]} \approx h_l((x - a)^{\ell_1}); \quad (1.8)$$

$$h_l(x) = h_r(x) = x. \quad (1.9)$$



# The class of functions $\mathcal{L}$ : Representation



# Presentation plan

- I Geometry
- II Renormalization



# Geometry



## "Geometry": qualitative description

$$K_f := \mathcal{S}^1 \setminus \cup_{i \geq 0} f^{-i}(U_f) \quad (2.1)$$

$$\underline{i} := f^{-i}(U_f). \quad (2.2)$$

$$\alpha_n := \frac{|(-\underline{q}_n, \underline{0})|}{|[-\underline{q}_n, \underline{0})|}. \quad (2.3)$$

$$\begin{cases} \text{Degenerate "Geometry" (DG): } \alpha_n \rightarrow 0 \\ \text{Bounded "Geometry" (BG): } \alpha_n > K > 0 \end{cases} \quad (\text{Def})$$

$$Sf(x) := \frac{D^3f(x)}{Df(x)} - \frac{3}{2} \left( \frac{D^2f(x)}{Df(x)} \right)^2 < 0; \quad \forall x, Df(x) \neq 0. \quad (\text{A-DG})$$

$$\rho(f) = [a_0 a_1 \dots] \notin \mathbb{Q}; \quad a_i < a \in \mathbb{N}. \quad (\text{A-BG})$$



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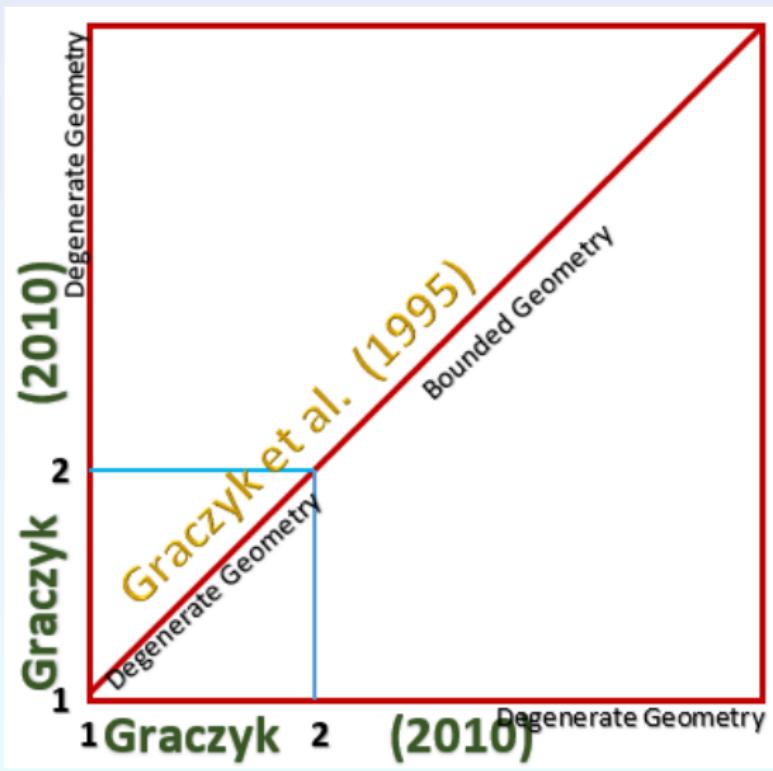
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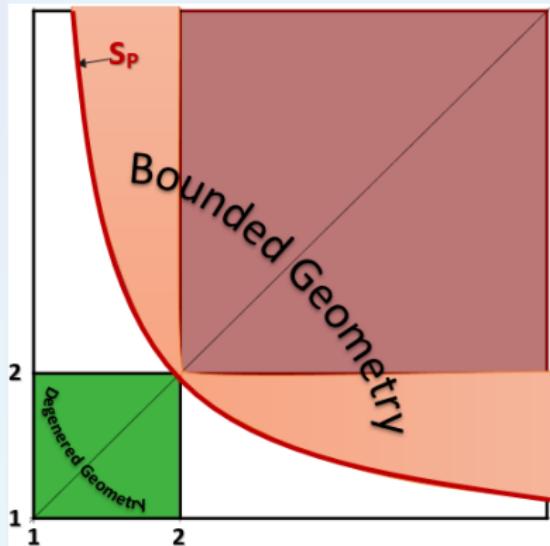
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# Before our Results



# Our Contributions



Theorem 1 (B. TANGUE NDAWA (2020),  
L. PALMISANO & B. TANGUE NDAWA  
(2021))

- ①  $DG: (\ell_1, \ell_2) \in [1, 2]^2$  with **(A-DG)**;
- ②  $BG: (\ell_1, \ell_2) \in [2, \infty)^2 \setminus \{(2, 2)\}$  with **(A-BG)** ;
- ③  $BG: \rho = [abab \cdots] a, b \in \mathbb{N}^*$ .

$$t_i(x) = (1 - \ell_i^{-x})(\ell_i - 1)^{-1}. \quad (2.4)$$

$$\begin{aligned} & \sqrt{(\ell_1^{-b} - \ell_2^{-a})^2 + (t_1(b)t_2(a) + 2(\ell_1^{-b} + \ell_2^{-a}))t_1(b)t_2(a)} + \\ & + t_1(b)t_2(a) + \ell_1^{-b} + \ell_2^{-a} - 2 < 0 \end{aligned} \quad I_{a,b}(\ell_1, \ell_2)$$

# Renormalization



## Renormalization: Story

- Quantum Field Theory (QFT): Dirac (1927); Before, Born, Jordan and Heisenberg
- **inconsistencies (incomprehension) mathematics:**  $\infty <<$
- Renormalization (introduction): resizing the variables (masses) and constants (1945-1955): Behe, Feynman, Schwinger, Dyson, Nicolaï Shirkov
- Renormalization Kenneth Wilson (1982) Shirkov (1982-1984)

The renormalization can be defined as the microscopic study of the “Geometry”.

- Unimodal Maps: de Melo and Van Strien (1993), etc.



## Renormalization: Story

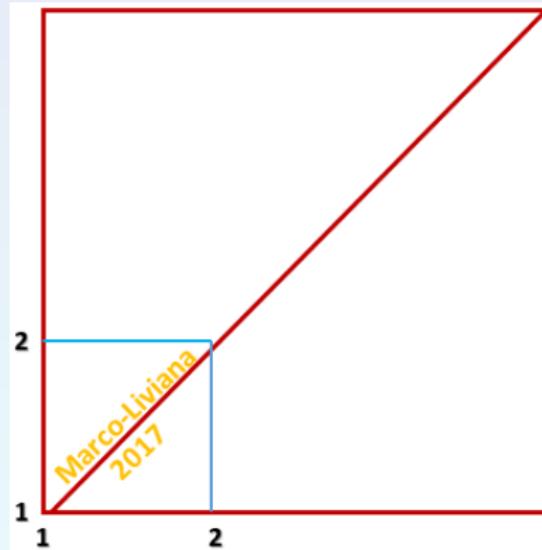
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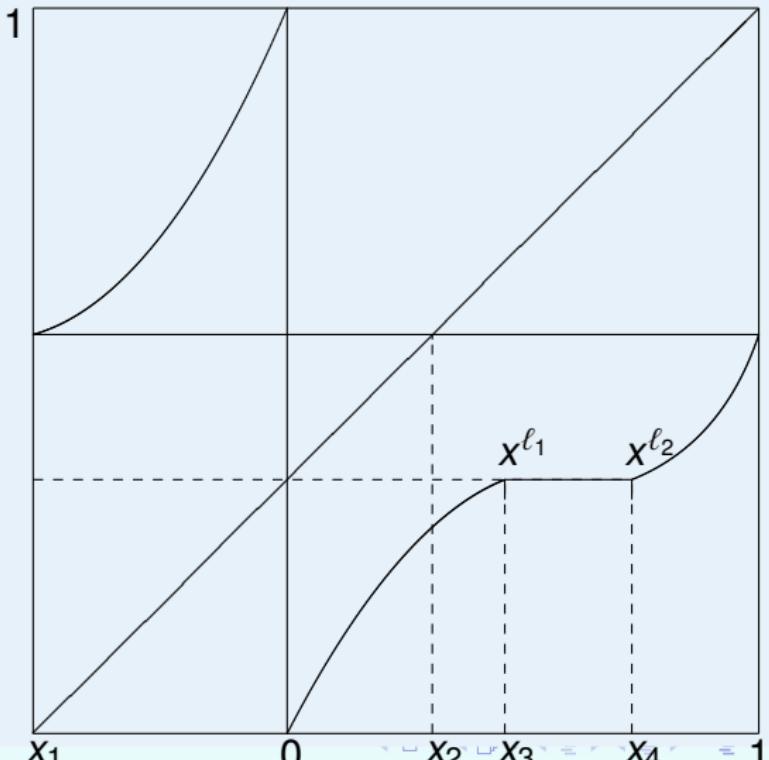


# Result of Marco and Liviana



# Class of renormalization map

$$\begin{aligned}
 \rho(f) &= \frac{1 + \sqrt{5}}{2} \\
 &= [111\cdots] \\
 &:= 1 + \cfrac{1}{1 + \cfrac{1}{1 + \cfrac{1}{\ddots}}} \\
 &= \text{Nombre d'or.}
 \end{aligned}$$



## The class of functions

$$\Sigma^X = \{(x_1, x_2, x_3, x_4, s) \in \mathbb{R}^5 \mid x_1 < 0, x_3 < x_4 < 1, 0 < x_2, s < 1\}, \quad (3.1)$$

$$f := (x_1, x_2, x_3, x_4, s, \varphi, \varphi^l, \varphi^r) \in \mathcal{L}^X = \Sigma^X \times (Diff^3([0, 1]))^3. \quad (3.2)$$

$$f(x) = \begin{cases} (1 - x_2)q_s \circ \varphi\left(\frac{x_1 - x}{x_1}\right) + x_2 & \text{if } x \in [x_1 \sim 1, 0[ \\ x_1 \left(\varphi^l\left(\frac{x_3 - x}{x_3}\right)\right)^{\ell_1} & \text{if } x \in ]0, x_3] \\ 0 & \text{if } x \in [x_3, x_4] \\ x_2 \left(\varphi^r\left(\frac{x - x_4}{1 - x_4}\right)\right)^{\ell_2} & \text{if } x \in [x_4, 1 \sim x_1]; \end{cases} \quad (3.3)$$

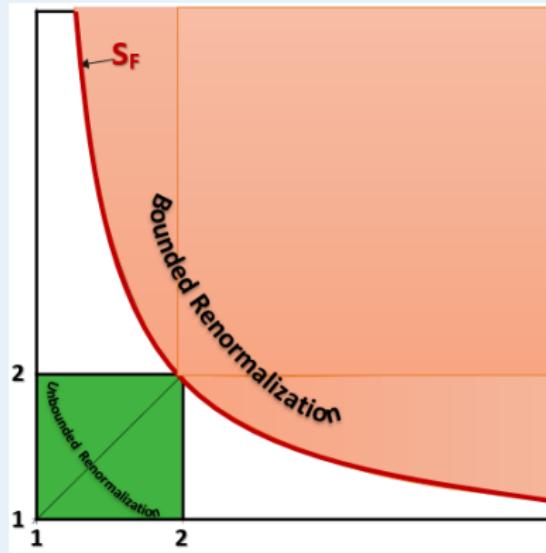
$$q_s(x) = [(1 - s)x + s]^{\ell_2} \left[1 - s^{\ell_2}\right]^{-1}. \quad (3.4)$$



# Our Contributions

if  $0 < x_2 < x_3$ , then  $R(f) := h \circ P_{re} R(f) h^{-1} \in \mathcal{L}^X$ . (3.5)

$$\ell_1 + \ell_2 + 1 + \sqrt{(\ell_1 - \ell_2)^2 + 2(\ell_1 + \ell_2) + 1} - 2\ell_1\ell_2 < 0 \quad l_{1,1}(\ell_1, \ell_2)$$



Theorem 2 (B. TANGUE  
NDAWA (2024))

- ①  $[\infty - R]: (\ell_1, \ell_2) \in (1, 2)^2$   
with **(A-DG)**;
- ②  $[B - R]:$  if  $l_{1,1}(\ell_1, \ell_2)$   
holds and additional  
condition.

## Proposition 3

$f \in \mathcal{L}_0^X$ ,  $P_{re}R(f)$  defined on  $[x_1, x_2]$ .

$$h : x \in [x_1, x_2) \longmapsto \frac{x}{x_1} \in [x_2/x_1, 1) \quad (3.6)$$

then,

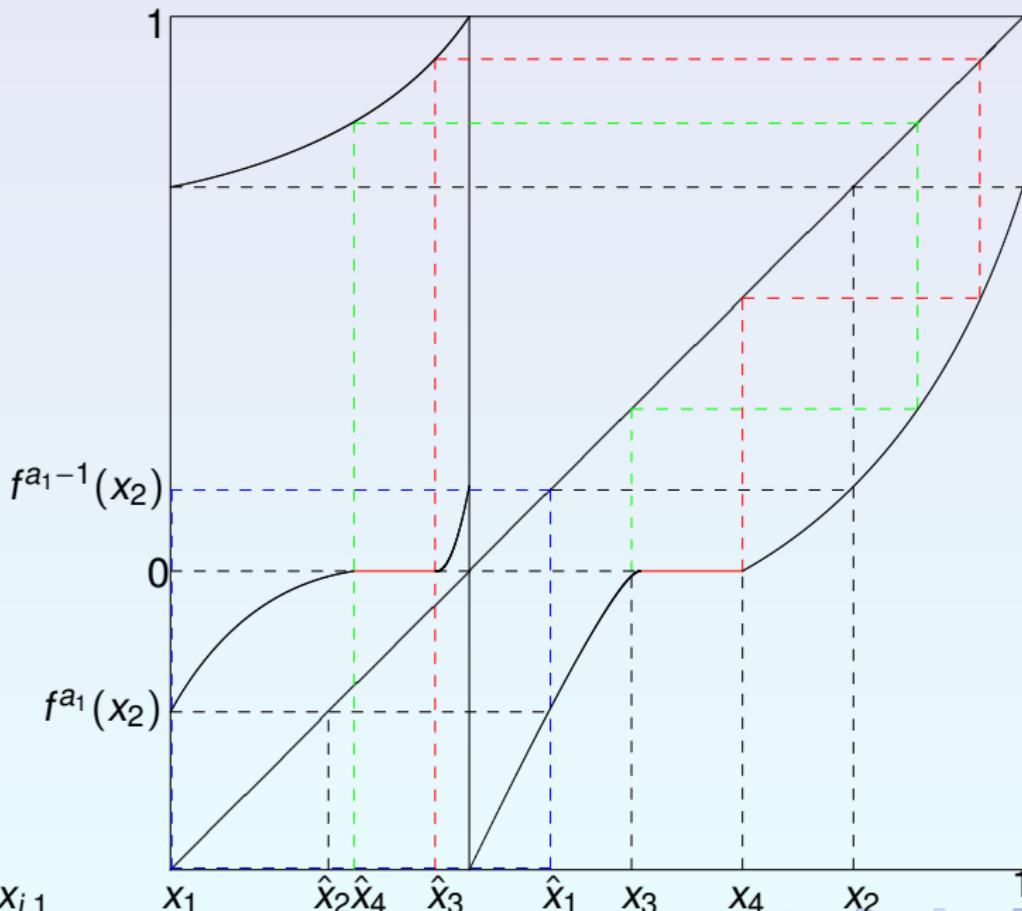
$$Rf := h \circ P_{re}R(f) \circ h^{-1} \in \mathcal{L}^X; \quad (3.7)$$

that is,

$$Rf := (x_{1,1}, x_{2,1}, x_{3,1}, x_{4,1}, s_1, \varphi_1, \varphi_1^l, \varphi_1^r) \in \mathcal{L}^X; \quad (3.8)$$

where,





$$\hat{x}_i = x_1 x_{i,1}$$

 $X_1$  $\hat{X}_2 \hat{X}_4$  $\hat{X}_3$  $\hat{X}_1$  $X_3$  $X_4$  $X_2$ 

$$x_{1,1} = \frac{x_2}{x_1},$$

$$x_{2,1} = \left( \varphi^l \left( \frac{x_3 - x_2}{x_3} \right) \right)^{\ell_1},$$

$$x_{3,1} = 1 - \varphi^{-1} \circ q_s^{-1} \left( \frac{x_4 - x_2}{1 - x_2} \right),$$

$$x_{4,1} = 1 - \varphi^{-1} \circ q_s^{-1} \left( \frac{x_3 - x_2}{1 - x_2} \right),$$

$$s_1 = \varphi^l \left( \frac{x_3 - x_2}{x_3} \right),$$

$$\varphi_1 = Z_{[1-x_2/x_3, 1]}(\varphi^l),$$

$$\varphi_1^l = \varphi^r \circ Z_{[1-x_{3,1}, 1]}(q_s \circ \varphi),$$

$$\varphi_1^r = Z_{[0, 1-x_2/x_3]}(\varphi^l)(q_s \circ \varphi) \circ Z_{[0, 1-x_{4,1}]}(q_s \circ \varphi).$$



## Proof of main result: Changes of Variables

$(X) \longleftrightarrow (S)$

$$S_1 = \frac{x_3 - x_2}{x_3}, \quad S_2 = \frac{1 - x_4}{1 - x_2}, \quad S_3 = \frac{x_3}{1 - x_4}, \quad S_4 = -\frac{x_2}{x_1}, \quad S_5 = s; \quad (3.9)$$

$$\left\{ \begin{array}{lcl} x_1 & = & -\frac{S_3(1 - S_1)S_2}{(1 + S_3(1 - S_1)S_2)S_4} \\ x_2 & = & \frac{S_3(1 - S_1)S_2}{1 + S_3(1 - S_1)S_2} \\ x_3 & = & \frac{S_3S_2}{1 + S_3(1 - S_1)S_2} \\ x_4 & = & 1 - \frac{S_2}{1 + S_3(1 - S_1)S_2} \end{array} \right. \quad (3.10)$$

$(S) \longleftrightarrow (Y)$

$$y_1 = S_1, \quad y_2 = \ln S_2, \quad y_3 = \ln S_3, \quad y_4 = \ln S_4, \quad y_5 = \ln S_5. \quad (3.11)$$

# Proof of main result: Super Formula

$$w_n(f) := (y_{i,n} := y_i(R^n f))_{i=2,3,4,5} \quad (3.12)$$

Proposition 4 (B. TANGUE NDAWA (2024))

Let  $(\ell_1, \ell_2) \in (1, 2)^2$ . Then, there exists  $\lambda_u > 1$ ,  $\lambda_s \in (0, 1)$   $E^u$ ,  $E^s$ ,  $E^+$ ,  $w_{fix} \in \mathbb{R}^4$ , s.t.  $f \in \mathcal{W}_c$  with critical exponents  $(\ell_1, \ell_2)$ , there exists  $c_u(f), c'_u(f) < 0$ ,  $c_s(f), c'_s(f)$ ,  $c_+(f)$  and  $c'_+(f)$  s.t  $\forall n := 2p_n \in \mathbb{N}^*; p_n \in \mathbb{N}$ ,

$$w_n(f) = c_\zeta(f) \lambda_\zeta^{p_n} E^\zeta + w_{fix} + O(e, c_u(f), \lambda_u, n) \quad (3.13)$$

$$w_{n+1}(f) = c'_\zeta(f) \lambda_\zeta^{p_n} E^\zeta + w_{fix} + O(e, c'_u(f), \lambda_u, n); \quad (3.14)$$

$$O(e, c_u(f), \lambda_u, n) \equiv O\left((e^{c_u(f)\lambda_u^{p_{n-4}}})^{1/\bar{\ell}}\right); \bar{\ell} := \max\{\ell_1, \ell_2\}. \quad (3.15)$$

# Proof of main result: Super Formula

$$w_n(f) := (y_{i,n} := y_i(R^n f))_{i=2,3,4,5} \quad (3.12)$$

## Proposition 4 (B. TANGUE NDAWA (2024))

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And, we have also

$$\begin{aligned} dist(\varphi_n) &= O\left(e^{\frac{c_u(f)\lambda_u^{p_{n-2}}}{\ell_1}}\right) \\ dist(\varphi_n^l) &= O\left(e^{\frac{c_u(f)\lambda_u^{p_{n-1}}}{\ell_2}}\right) \\ dist(\varphi_n^r) &= O\left(e^{\frac{c_u(f)\lambda_u^{p_n}}{\ell_1}}\right) \end{aligned} \tag{3.16}$$

with

$$Dist(\varphi_{|I}) = \sup_{x,y \in I} \log \frac{Df(y)}{Df(x)} \tag{3.17}$$



## Proposition 5 (B. TANGUE NDAWA (2024))

Let  $f \in \mathcal{W}_c$ . For every  $n$ ,

$$\text{dist}(\varphi_n^l) = O\left(\alpha_{n-1}^{\frac{1}{\ell_1}, \frac{1}{\ell_2}}\right), \text{dist}(\varphi_n) = O\left(\alpha_{n-2}^{\frac{1}{\ell_1}, \frac{1}{\ell_2}}\right), \text{dist}(\varphi_n^r) = O\left(\alpha_n^{\frac{1}{\ell_1}, \frac{1}{\ell_2}}\right)$$



## Lemma 6

Let  $f \in \mathcal{W}_c$ , then

- ①  $\frac{x_{2,n+1}}{x_{1,n}} = O(\alpha_{n+1}),$
- ②  $\frac{x_{3,n+1}}{x_{1,n}} = O(\alpha_{n+1}),$
- ③  $\frac{x_{1,n} - x_{4,n+1}}{x_{1,n}} = O(\alpha_n),$
- ④  $S_{1,n} = \frac{x_{3,n} - x_{2,n}}{x_{3,n}} = O(\alpha_{n+1}).$
- ⑤  $s_n = S_{5,n} = O(\alpha_n).$



## Proposition 7 (B. TANGUE NDAWA (2024))

$f \in \mathcal{W}$ ,  $n \in \mathbb{N}$  and

$$R^n(f) = (S_{1,n}, S_{2,n}, S_{3,n}, S_{4,n}, S_{5,n}, \varphi_n, \varphi_n^l, \varphi_n^r). \quad (3.18)$$

If  $n$  is even, then

$$\begin{aligned} 1. \quad S_{1,n+1} &= 1 - \frac{\ell_2 S_{1,n}^{\ell_1}}{S_{2,n}} (1 + O(\alpha_{n-1}^{1/\ell_2})), \\ 2. \quad S_{2,n+1} &= \frac{S_{1,n} S_{2,n} S_{3,n}}{\ell_2 S_{5,n}^{\ell_2-1}} (1 + O(\alpha_{n-2}^{1/\ell_1})), \\ 3. \quad S_{3,n+1} &= \frac{S_{5,n}^{\ell_2-1}}{S_{1,n} S_{3,n}} (1 + O(\alpha_{n-2}^{1/\ell_1})), \\ 4. \quad S_{4,n+1} &= \frac{S_{1,n}^{\ell_1}}{S_{4,n}} (1 + O(\alpha_{n-1}^{1/\ell_2})), \\ 5. \quad S_{5,n+1} &= S_{1,n} (1 + O(\alpha_{n-1}^{1/\ell_2})). \end{aligned} \quad (3.19)$$

## Corollary 8 (B. TANGUE NDAWA (2024))

$f \in \mathcal{W}_c$ ,  $n \in \mathbb{N}$  and

$$R^n(f) = (S_{1,n}, S_{2,n}, S_{3,n}, S_{4,n}, S_{5,n}, \varphi_n, \varphi_n^l, \varphi_n^r). \quad (3.20)$$

Then,

$$\frac{\ell_2 S_{1,2n}^{\ell_1}}{S_{2,2n}} = 1 + O\left(\alpha_{2n-1}^{\frac{1}{\ell_2}}\right) \quad \text{and} \quad \frac{\ell_1 S_{1,2n+1}^{\ell_2}}{S_{2,2n+1}} = 1 + O\left(\alpha_{2n}^{\frac{1}{\ell_1}}\right). \quad (3.21)$$

## Proposition 9 (B. TANGUE NDAWA (2024))

$f \in \mathcal{W}_c$ . For  $n \in \mathbb{N}$  even, the following equality holds.

$$w_{n+2} = L_{(\ell_2, \ell_1)} w_{n+1} + w_{(\ell_1, \ell_2)}^* + \underline{O}(n, \ell, \alpha). \quad (3.22)$$

Let  $w_{fix}$  be the fixed point of the equation  $\bar{L}w + \bar{w}_{(\ell_1, \ell_2)}^* = w$

**Lemma 10 (B. TANGUE NDAWA (2024))**

For every  $p_n$  large enough,

$$w_{2p_n}(f) = c_u(f)\lambda_u^{p_n}E^u + c_s(f)\lambda_s^{p_n}E^s + c_+(f)E^+ + w_{fix} + O(n, \ell_1, \bar{\ell}, \alpha_0);$$

$$w_{2p_n+1}(f) = c'_u(f)\lambda_u^{p_n}E^u + c'_s(f)\lambda_s^{p_n}E^s + c'_+(f)E^+ + w_{fix} + O(n, \ell_2, \bar{\ell}, \alpha_0);$$

where,  $O(n, \ell_1, \bar{\ell}, \alpha_0)$  resp  $O(n, \ell_2, \bar{\ell}, \alpha_0)$  is the vector whose components are equal to

$$0\left(\alpha_0^{\left(\frac{2}{\ell_1}\right)^{p_{n-4}}}\right)^{\frac{1}{\ell}} \text{ resp } 0\left(\alpha_0^{\left(\frac{2}{\ell_2}\right)^{p_{n-4}}}\right)^{\frac{1}{\ell}}. \quad (3.23)$$



## Lemma 11 (B. TANGUE NDAWA (2024))

Let  $f \in \mathcal{W}_c$ , then,

$$\alpha_{2p_n} = O\left(e^{c_u(f)\lambda_u^{p_n}(e_2^u + e_3^u)}\right) \text{ with } c_u(f) < 0 \quad (3.24)$$

and

$$\alpha_{2p_n+1} = O\left(e^{c'_u(f)\lambda_u^{p_n}(e_2^u + e_3^u)}\right) \text{ with } c'_u(f) < 0. \quad (3.25)$$



## Corollary 12 (B. TANGUE NDAWA (2024))

$$\begin{aligned}
 e^{\Psi_{1,n}} &= \frac{S_{2,n}}{\ell_n(\varphi_n^{-1} \circ q_{S_n}^{-1}(1 - S_{2,n}))} \cdot \frac{\left(\varphi_n^l(S_{1,n})\right)^{\ell_{n+1}}}{S_{1,n}^{\ell_{n+1}}}, \\
 e^{\Psi_{2,n}} &= \frac{\ell_n S_{5,n}^{\ell_{n-1}} \varphi_n^{-1} \circ q_{S_n}^{-1}(S_{1,n} S_{2,n} S_{3,n})}{S_{1,n} S_{2,n} S_{3,n}} \cdot \frac{1}{1 - \varphi_n^l(S_{1,n})}, \\
 e^{\Psi_{3,n}} &= \frac{S_{1,n} S_{3,n} (1 - \varphi_n^{-1} \circ q_{S_n}^{-1}(1 - S_{2,n}))}{S_{5,n}^{\ell_{2,n}-1} \varphi_n^{-1} \circ q_{S_n}^{-1}(S_{1,n} S_{2,n} S_{3,n})}, \\
 e^{\Psi_{4,n}} &= \left( \frac{\varphi_n^l(S_{1,n})}{S_{1,n}} \right)^{\ell_{n+1}}, e^{\Psi_{5,n}} \frac{\varphi_n^l(S_{1,n})}{S_{1,n}}, \Psi_{0,n} = \frac{S_{1,n}^{\ell_{n+1}}}{S_{2,n}}
 \end{aligned} \tag{3.26}$$

If the sequences in (3.26) are uniformly bounded, then

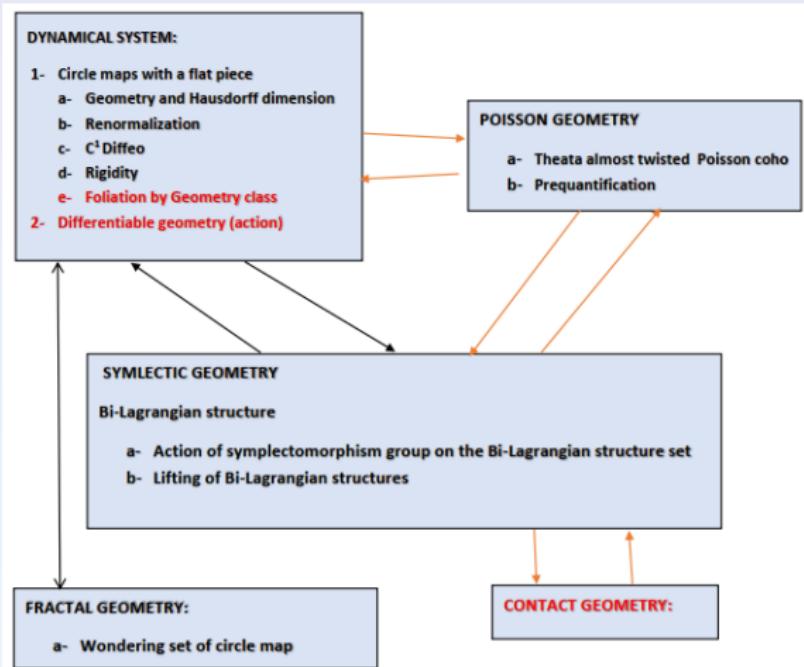
- ①  $w_n(f)$  tends to infinity exponentially when  $(\ell_1, \ell_2) \in [1, 2]^2 \setminus \{(2, 2)\}$
- ②  $w_n(f)$  is bounded when  $(\ell_1, \ell_2)$  verifies the inequality  $I_{1,1}(\ell_1, \ell_2)$ .

## Lemma 13 (B. TANGUE NDAWA (2024))

Let  $(\ell_1, \ell_2) \in (1, 2)^2$  and  $f \in \mathcal{W}_c$  with critical exponent  $(\ell_1, \ell_2)$ . Then for  $n := 2p_n$ ,

$$\begin{aligned} -x_{1,n} &= e^{c_u(f)\lambda_u^{p_n}(e_2^u + e_3^u - e_4^u) + c_s(f)\lambda_s^{p_n}(e_2^s + e_3^s - e_4^s) - c_+ + 0((e^{c_u(f)\lambda_u^{p_n-4}(e_2^u + e_3^u)})^{1/\bar{\ell}})} \\ x_{2,n} &= e^{c_u(f)\lambda_u^{p_n}(e_2^u + e_3^u) + c_s(f)\lambda_s^{p_n}(e_2^s + e_3^s) + 0((e^{c_u(f)\lambda_u^{p_n}(e_2^u + e_3^u)})^{1/\bar{\ell}})} \\ x_{3,n} &= e^{c_u(f)\lambda_u^{p_n}(e_2^u + e_3^u) + c_s(f)\lambda_s^{p_n}(e_2^s + e_3^s) + 0((e^{c_u(f)\lambda_u^{p_n-4}(e_2^u + e_3^u)})^{1/\bar{\ell}})} \\ 1 - x_{4,n} &= e^{c_u(f)\lambda_u^{p_n}e_2^u + c_s(f)\lambda_s^{p_n}e_2^s + 0((e^{c_u(f)\lambda_u^{p_n-4}(e_2^u + e_3^u)})^{1/\bar{\ell}})} \end{aligned}$$





# GEOMETRY, DYNAMICAL AND THEIR APPLICATIONS



# Merci Brazzaville

